

FAIS Disclosure Notice



FAIS Disclosure Notice

In terms of the Financial Advisory and Intermediary Services (“FAIS”) Act No. 37 of 2002 you are entitled to the following information regarding 3Sixty Life Limited:

Basic Information

Name: 3Sixty Life Limited (“3Sixty Life”)

Registration Number: 1935/007508/06

FSP Number: 15107

91 Central Street, Houghton, Johannesburg

Tel: +27 (11) 483 1188

Web: www.3sixtylife.co.za

Email: info@3sixtylife.co.za

3Sixtylife is a licensed Financial Services Provider in terms of FAIS, license number 15107. A copy of our license is available upon request.

Financial Services and Products of 3Sixty Life is authorised for the following financial products:



CATEGORY I

1. Long-term Insurance sub-category A
2. Short Term Insurance Personal Lines
3. Long Term Insurance subcategory B1
4. Long Term Insurance subcategory B2
5. Long Term Insurance subcategory B2A
6. Long Term Insurance subcategory B1A
7. Short Term Personal Lines A1
8. Long Term Insurance Subcategory C
9. Retail Pension Benefits
10. Pension Funds Benefits
11. Shares
12. Money market instruments
13. Debentures, securitised debt
14. Warrants certificates and other instruments
15. Bonds
16. Derivative instruments
17. Participatory instruments in a collective investment scheme.



Authorised Representatives:

3Sixty Life has duly authorised certain individuals to give advise and render intermediary services as defined in the FAIS Act.

3Sixty Life has representatives and their information is readily available on the FSCA website. (www.fsca.co.za)

Key Individuals:

Mr. Lialuma Phafudi and Ms. Grace Nkosi are our Key individuals and they are responsible for the management as well as the oversight of the FSP's activities.

Conflicts of Interest:

Conflicts of interest are inherent in any business and therefore the aim of 3Sixty Life is to take steps to identify, disclose and manage conflicts of interest that may arise and to further ensure that our clients are not unduly prejudiced.

Indemnity Cover:

3Sixty Life holds sufficient professional indemnity insurance as required by FAIS.

Compliance with Financial Advisory and Intermediary Services Act "FAIS":

Compliance with the FAIS Act is monitored by Julius Moila who is the appointed Compliance Officer, who is contactable at julius.moila@3sixtylife.co.za or (011) 483 2217

Financial Intelligence Centre Act (FICA):

Please note that in terms of the Financial Intelligence Centre Act (FICA), 3Sixty Life is an accountable institution under law and as such obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

Complaints:

Should you wish to pursue a complaint against a representative of 3Sixty Life, you should address your complaint in writing to: complaints@3sixtylife.co.za

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

Contact particulars of the Ombud

Postal: PO Box 74571, Lynnwood Ridge, 0040.

Tel: 012 470 9080

Fax: 012 348 3447

Email: info@faisombud.co.za

Web: www.faisombud.co.za

